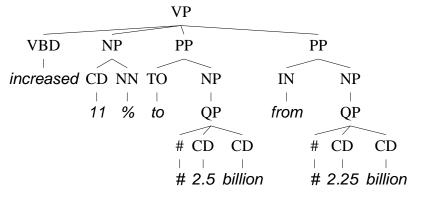
# Non-Local Modeling with a Mixture of PCFGs

#### Slav Petrov, Leon Barrett and Dan Klein University of California at Berkeley

**CoNLL 2006** 

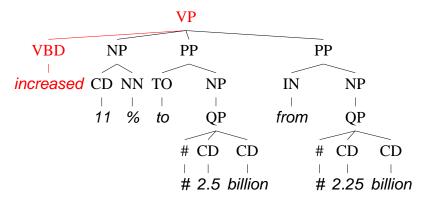






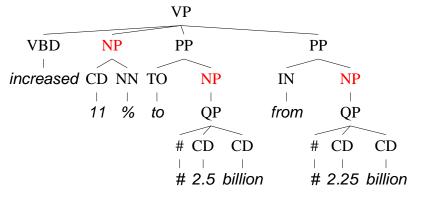
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Verb Phrase Expansion: capture with lexicalization. [Collins 1999, Charniak 2000]

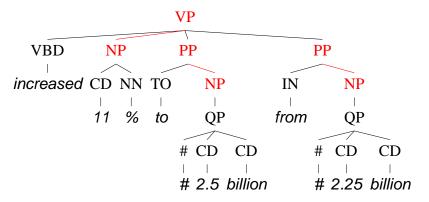






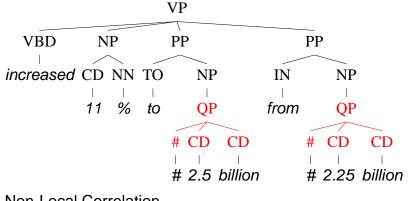
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Local Correlation: capture with parent annotation. [Johnson 1998, Klein & Manning 2003]









### Correlations for $QP \rightarrow \# CD CD$

Rule	Score
$QP \to \texttt{\#CD} CD$	131.6
$PRN \rightarrow -LRB-ADJP-RRB$	77.1
$VP  o VBD \ NP$ , $PP \ PP$	33.7
$VP \to VBD NP NP PP$	28.4
$PRN \rightarrow -LRB-NP-RRB-$	17.3
$ADJP \to QP$	13.3
$PP \to IN \ NP \ ADVP$	12.3
$NP \to NP PRN$	12.3
$VP \to VBN PP PP PP$	11.6
$ADVP \to NP \; RBR$	10.1



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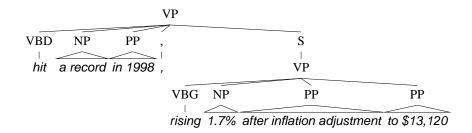
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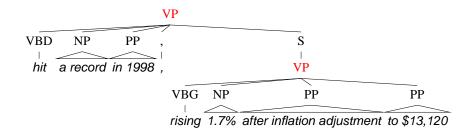
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Repeated formulaic structure in one grammar: VP  $\rightarrow$  VBD NP PP , S and VP  $\rightarrow$  VBG NP PP PP.

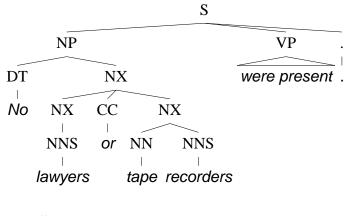




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## Examples



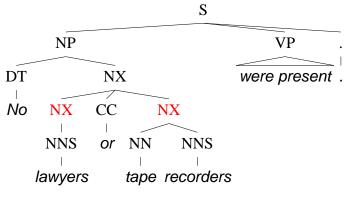
Sibling effects, though not parallel structure:  $NX \rightarrow NNS$  and  $NX \rightarrow NN NNS$ .



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## Examples



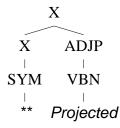
Sibling effects, though not parallel structure:  $NX \rightarrow NNS$  and  $NX \rightarrow NN NNS$ .



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## Examples



A special structure for footnotes: ROOT  $\rightarrow$  X and X  $\rightarrow$  SYM.



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Model non-local correlation that can stem from:

- Dialects,
- Priming effects,
- Genre,
- Stylistic conventions.



#### ROOT



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ROOT | S



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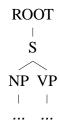
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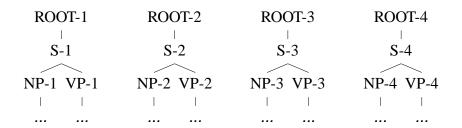
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Single grammar:

$$\mathbf{P}(\boldsymbol{T}) = \prod_{\boldsymbol{X} \to \alpha \in \boldsymbol{T}} \mathbf{P}(\alpha | \boldsymbol{X}).$$



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Single grammar:

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• Single grammar from a mixture:

$$\mathbf{P}(\mathbf{T}, \mathbf{i}) = \mathbf{P}(\mathbf{i}) \prod_{\mathbf{X} \to \alpha \in \mathbf{T}} \mathbf{P}(\alpha | \mathbf{X}, \mathbf{i}).$$



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• Single grammar from a mixture:

$$\mathbf{P}(T, i) = \mathbf{P}(i) \prod_{X \to \alpha \in T} \mathbf{P}(\alpha | X, i).$$

Mixture of grammars:

$$\mathbf{P}(T) = \sum_{i} \mathbf{P}(T, i) = \sum_{i} \mathbf{P}(i) \prod_{X \to \alpha \in T} \mathbf{P}(\alpha | X, i).$$



 $\mathrm{P}(T|S) \propto \sum_{i} \mathrm{P}(i) \mathrm{P}(T|i).$ 



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$$P(T|S) \propto \sum_{i} P(i)P(T|i).$$

Mixture of grammars:

$$\operatorname{argmax}_{T} \sum_{i} P(T, i) = \operatorname{argmax}_{T} \sum_{i} P(i) \prod_{X \to \alpha \in T} P(\alpha | X, i).$$



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• Mixture of grammars:

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• Computing most probable parse is NP-hard.



$$P(T|S) \propto \sum_{i} P(i)P(T|i).$$

Mixture of grammars:

$$\operatorname{argmax}_{\tau} \sum_{i} P(\tau, i) = \operatorname{argmax}_{\tau} \sum_{i} P(i) \prod_{X \to \alpha \in \tau} P(\alpha | X, i).$$

- Computing most probable parse is NP-hard.
- Compute the most probable derivation instead.



# Learning: Training

- Manually assign sentences to grammars, e.g. Brown corpus.
- Alternatively, use a standard Expectation-Maximization (EM) approach.



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# Learning: Training

- Manually assign sentences to grammars, e.g. Brown corpus.
- Alternatively, use a standard Expectation-Maximization (EM) approach.

#### E-Step:

- Fix model parameters and compute the posterior distributions of the latent variables.
- Component G of each sentence:

$$\mathbf{P}(i|\mathbf{T}) = \frac{\mathbf{P}(\mathbf{T},i)}{\sum_{j} \mathbf{P}(\mathbf{T},j)}.$$



# Learning: Training

M-Step:

- Given the posterior assignments find the maximum likelihood model parameters.
- Let  $\mathbf{T} = \{T_1, T_2, ...\}$  be the training set. The M-Step updates are:
- Component prior:

$$\mathbf{P}(i) \leftarrow \frac{\sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)}{\sum_i \sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)} = \frac{\sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)}{k}$$

 Estimate rule probabilities as for a single grammar but with fractional counts.



• Pool common rules (e.g. NP  $\rightarrow$  DT NN) in a *shared* grammar  $G_s$ .



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- Pool common rules (e.g. NP  $\rightarrow$  DT NN) in a *shared* grammar  $G_s$ .
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$$\mathbf{P}(\alpha|\mathbf{X}, \mathbf{i}) = \lambda \mathbf{P}(\alpha|\mathbf{X}, \mathbf{i}, \ell = \mathbf{I}) + (\mathbf{1} - \lambda)\mathbf{P}(\alpha|\mathbf{X}, \mathbf{i}, \ell = \mathbf{S}),$$



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• Two kinds of hidden variables: the grammar *G* (for each sentence) and the level *L* (for each node).





• Component G of each sentence as before:

$$\mathbf{P}(i|T) = \frac{\mathbf{P}(T,i)}{\sum_{j} \mathbf{P}(T,j)}.$$

• Hierarchy level *L* of each rewrite:

$$\mathbf{P}(\ell = \mathbf{I} | \mathbf{X} \to \alpha, \mathbf{i}, \mathbf{T}) = \frac{\lambda \mathbf{P}(\alpha | \mathbf{X}, \ell = \mathbf{I})}{\lambda \mathbf{P}(\alpha | \mathbf{X}, \mathbf{i}, \ell = \mathbf{I}) + (\mathbf{1} - \lambda) \mathbf{P}(\alpha | \mathbf{X}, \ell = \mathbf{S})}.$$



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### • Component prior as before:

$$\mathbf{P}(i) \leftarrow \frac{\sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)}{\sum_i \sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)} = \frac{\sum_{T_k \in \mathbf{T}} \mathbf{P}(i|T_k)}{k}.$$



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### • Component prior as before:

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• Hierarchy Level:

$$\mathbf{P}(I = \mathbf{I}) \leftarrow \frac{\sum_{\mathcal{T}_k \in \mathbf{T}} \sum_{\mathbf{X} \to \alpha \in \mathcal{T}_k} \mathbf{P}(\ell = \mathbf{I} | \mathbf{X} \to \alpha)}{\sum_{\mathcal{T}_k \in \mathbf{T}} |\mathcal{T}_k|}.$$



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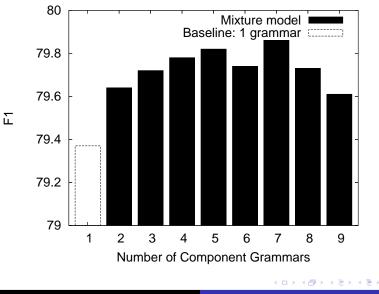
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### WSJ with standard setup:

- Section 2-21 training set,
- Section 22 validation set,
- Section 23 test set.
- Baseline: Markovized grammar annotated with parent and sibling information (vertical order=2, horizontal order=1 [Klein & Manning 2003]).



# **Parsing Accuracy**



Slav Petrov, Leon Barrett and Dan Klein Non-Local Modeling with a Mixture of PCFGs

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- Mixture model captures non-local correlations.
- 10% reduction in total correlation error:
  - Estimate rule correlations from corpus.
  - Generate trees with grammar and estimate rule correlations.
  - Compute correlation difference.



## Genre

- Brown corpus' genres are statistically coherent.
- Assign each genre to an individual grammar (no EM training):

 $F_1 = 79.48$ , LL=-242332.

• Initialize by genre then train with EM:

$$F_1 = 79.37$$
, LL=-242100.

• EM with a random initialization:

$$F_1 = 79.16$$
, LL=-242459.

 Model can capture variation between genres, but maximum training data likelihood does not necessarily give maximum accuracy.



"Learning Accurate, Compact, and Interpretable Tree Annotation", Petrov et al., ACL 2006:

•  $F_1 = 90.2\%$ .

- More flexible learning framework.
- Split and merge training to keep grammar compact.
- Similar in spirit to Klein & Manning 2003 and Matsuzaki et al. 2005.



- Examined rule correlations that may be found in natural language corpora, discovering non-local correlations not captured by traditional models.
- A Mixture of PCFGs can represent these non-local features and gives an improvement in parsing accuracy and data likelihood.
- This improvement is modest, however, primarily because local correlations are so much stronger than non-local ones.



### Thank you very much for your attention.

### Questions?

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